## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert W. McMillan et al. Group Art Unit: (Not Assigned)

Title: Polarization Correlation Signal Processing For Radiometers, Ladars and Radars

Application

Serial No.: 10/653,781 Examiner: (Not Assigned)

Filed On: 03 Sept. 2003 Atty. Docket No.: 01-08

## INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. § 1.97b, the documents listed on the attached form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited publications are enclosed herewith with the exception of those documents previously cited in co-pending parent United States Patent Application Serial No. 10/341,151, filed 13 January 2003 and entitled "A Device and Method For Determining All Components of the Stokes Polarization Vector Within a Radar Signal".

This statement is being submitted within three months of filing of the above-

I hereby certify that this correspondence is being deposited on the date given below with the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22318-1450."

Date: October 15, 2003

Roger C. Phillips, Reg. No. 37,418

captioned application so the items submitted herewith should therefore be considered

without necessity of a certification, petition or fee.

The filing of this information disclosure statement shall not be construed as a

representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the

information cited is, or is considered to be, material to patentability, or that no other

material information exists.

The filing of this information disclosure statement shall not be construed that the

information cited is entitled to prior art status relevant to this application or as an

admission against interest in any manner.

Each document dated less than one year prior to the effective filing date of this

application is expressly not admitted to be prior art with respect to this application, but

rather is being brought to the attention of the Examiner as indicative of the state of the

art.

It is respectfully requested the Examiner indicate, consideration of the cited

references by returning a copy of the attached form PTO-1449 with initials or other

appropriate marks.

Respectfully submitted,

Attorney for Applicants

Roger C. Phillips Reg. No. 37,418

**USASMDC** 

ATTN: SMDC-LC-H

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PTO/SB/08A (02-03) Approved for use through 04/30/2003. OMB 0651-0031

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Substitute for form 1449/PTO		Complete if Kn wn			
		Application Number	10/653,781		
INFORM	ATION DISCLOSURE	Filing Date	'03 Sept 2003		
		First Named Inventor	McMillan, Robert W.		
	ENT BY APPLICANT	Art Unit			
(Use a	s many sheets as necessary)	Examiner Name			
heet 1	of 2	Altorney Docket Number	01-08		

				DOCUMENTS	
Examiner Initials*			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Clted Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,608,413	Mor. 1007	Macdonald	
			Mar. 1997		
		<sup>US-</sup> 5,764,182	Jun. 1998	Durand	<u> </u>
		<sup>US-</sup> 5,793,905	Aug. 1998	Maier et al	
		<sup>US-</sup> 5,815,121	Sep. 1998	Collier	
		<sup>US-</sup> 5,890,095	Mar. 1999	Barbour et al	
		<sup>US-</sup> 6,043,887	Mar. 2000	Allard et al	
		<sup>US-</sup> 6,118,537	Sep. 2000	Johs et al	
		<sup>US-</sup> 6,490,043	Dec. 2002	Kebabian	
		US-			

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initiats' No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ		
		Country Code <sup>3</sup> Number <sup>6</sup> Kind Code <sup>5</sup> (il known)	MM-DD-YYYY		Or Relevant Figures Appear	T	
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Examiner	Date	
Signature	Considered	

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Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



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				Application Number	10/653,781	
Ĭ.			CLOSURE	Filing Date	03 Sept 2003	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	McMillan, Robert W.	
(Use as many sheets as necessary)				Art Unit	·	
				Examiner Name		
Sheet	2	of	2	Attorney Docket Number	01-08	

Examiner	Cite	OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
nitials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Iannarilli et al., "Polarimetric-Spectral Intensity Modulation (P-SIM)Imaging", SPIE Conference, ourlando, FL, Apr. 1999,	
		Oka, K. and Kato, T. "Spectroscopic PolarimetrySpectrum", Optics Letters, Nov. 1, 1999, Vol. 24	
		Sabatke et al, "Optimization of RetardancePolarimeter", Optics Letters, Vol. 25, No. 11, June 1, 2000	
		Goldsmith, P., "Quasioptical Systems", IEEE Press, PP. 71-77	
		Born, M. and Wolf, E., "Principles of Optics", Pergamon Press PP. 30-32 and 554-555.	
		McMillan et al., "An Experimental 225 GHz Pulsed Coherent Re Radar", Transactions on Microwave Theory and Techniques, Mar.l	991
		Gribbin, J. "Schrodinger's KittensReality", PP. 109-115	
	<b>,</b>	Sabatke et al. "A Snapshot Imaging Spectropolarimeter", Procee of the Multi/Hyperspectral SensorsWorkshop, Reds: Ars. 7-9 N	
		U.S. Patent Application No. 10/631,218, to McMillan et al.,	
		Entitled "Coherent Radar and Ladar Polarimeter"	

Examiner	Date	
Signature	Considered	

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<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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